

FORM CD-14 LF U.S. DEPARTMENT OF COMMERCE (REV. 10-93) DAO 214-2		DATE														
TRANSMITTAL SLIP		1/29/08														
TO:	IFW	REF. NO. OR ROOM, BLDG.														
FROM:	FINANCE	REF. NO. OR ROOM, BLDG.														
ACTION																
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COMMENTS:

*U.S. Government Printing Office: 1998 - 441-917

PLEASE SCAN IN UNDER
09943424



UNITED STATES PATENT AND TRADEMARK OFFICE

Chief Financial Officer and Chief Administrative Officer

January 29, 2008

TO: 5th 3rd Bank – Merchant Services
Attn: Chargeback Area

FROM: Douglas M. Lindsey

SUBJECT: Request for Information

We have received a request for information from your office stating that the cardholder questions a \$ 425.00 credit card sale processed by the U.S. Patent and Trademark Office (USPTO) on November 25, 2007 and requests support.

It appears using the credit card information of the law firm of Weiss & Arons, LLP a sale was processed by Legal Instrument Examiner Anthony Williams to account for additional claims submitted by Joel Weiss on September 03, 2007. The patent application number associated with the \$ 425.00 sale was **09943424**. I have attached a copy of the transmittals for the submission of additional claims and the Fee Determination Record determining the claims owed.

Please note that no documentation could be located which authorized of the credit card sale in question, i.e., the USPTO's **SF 2038** (the authorizing document) could not be located by the USPTO's Office of Finance. To that end, it seems appropriate to refund the \$ 425.00 in question.

USPTO requests that the CHARGEBACK AREA request that the credit card company process a refund for the \$ 425.00 sale processed against application number **09943424** on November 25, 2007 and notify the USPTO once the refund has been affected.

It is suggested that the applicant review the number of claims presently associated with patent application number **09943424** and pay any additional fees owed. I will forward a copy of this letter to be scanned in against the application number to explain why the funds were refunded.

If you have further questions concerning this matter please contact Douglas Lindsey in the USPTO's Office of Finance at (571) 272-6344.

Reference USPTO CHARGEBACK **JAN08-27**

Thank you in advance.

Lindsey, Doug

From: Lindsey, Doug
Sent: Tuesday, January 29, 2008 5:50 PM
To: Kuendel, Jeanette; Aklog, Andu; Maddox, Scott (KEY)
Cc: Le, Tammy; Jallah, Jusu; Williams, Anthony
Subject: CHARGEBACK JAN08-27 claims - no 2038 - refund
Attachments: LETTERHEAD NEW 2004151121 (3) (3) (3) (2).doc

USPTO's Office of Finance requests that the CHARGEBACK AREA request that credit card company to refund the \$ 425.00 processed against application number **09943424** on November 25, 2007 and notify the USPTO once the refund has been affected.

Anthony, please review. If the claims are owed please notify the applicant at an alternative method of payment will be required.

Douglas M. Lindsey
U.S. Patent & Trademark Office
Office of Finance - RAD
RAM Administration
W (571) 272-6344
doug.lindsey@uspto.gov

1/29/08